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| APP 0 0 0 00 | | | Application Serial Number | | | 10/759,374 | | | | |
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| | | | Filing Date | **** | \top | January 16, 2004 | | | | |
| (| 2006 | First Named | l Inventor | 1 | Hafeman | | | | | |
| ` | RANSMITTA | Group Art U | Jnit | T | 1651 | | | | | |
| l | | Examiner N | ame | | T. M. Gough | | | | | |
| | FORM | Attorney Do | ocket No. | | THI-003 | | | | | |
| | | Patent No. | | | Not yet assigned | | | | | |
| | | Issue Date | | | Not yet assigned | | | | | |
| ENCLOSURES (check all that apply) | | | | | | | | | | |
| Fee Transmittal Form | | | Copy of Notice to File Missing Parts of Application | | | Notice of Appeal to Board of Patent Appeals and Interferences | | | | |
| | ☐ Check Attached☐ Copy of Fee Transmittal Form | | Formal Drawin | | | | | | | |
| | Amendment/Response | | Request For C Examination (| | |] Status Inquiry | | | | |
| | ☐ Preliminary☐ After Final | | Transmittal | | | Return Receipt Postcard | | | | |
| | ☐ Affidavits/declaration(s) ☐ Letter to Official ☐ Draftsperson | | Power of Attorney (Revocation of Prior Powers) | | | Certificate of First Class Mailing under 37 C.F.R. 1.8 | | | | |
| | including Drawings [Total Sheets] | Terminal Disc | laimer | | Certificate of Facsimile Transmission under 37 C.F.R. 1.8 | | | | | |
| | Petition for Extension of Time | | | r Utility or Design | | Additional Enclosure(s) (please identify below) | | | | |
| ⊠ | Supplemental Information Disclosure Statement ☐ Form PTO-1449 | | Small Entity Statement | | | | | | | |
| | Copies of Citations | | CD(s) for large table or computer program | | | | | | | |
| | Certified Copy of Priority Document(s) | | Amendment After Allowance | | | | | | | |
| | Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above | | Request for Co Correction Certificate duplicate) | e of Correction (in | | | | | | |
| COR | RESPONDENCE ADDRESS | | _ | SIGNATURE BLOCK | | | | | | |
| Direct | Goodwin Exchange Boston, M Tel. No.: Fax No.: | ministrator Procter LLI Place (A 02109 (617) 570-1 (617) 523-1 No. 05141 | P 1000 1231 | Respectfully submitted, Date: Mark 30 2004 Reg. No. 44,381 Tel. No.: (617) 570-1806 Fax No.: (617) 523-1231 Respectfully submitted, Natasha C. Us Attorney for Applicant Goodwin Procter LLP Exchange Place Boston, MA 02109 | | | | | | |



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Hafeman

SERIAL NO.:

10/759,374

GROUP NO.:

1651

FILING DATE:

January 16, 2004

EXAMINER:

T. M. Gough

TITLE:

METHODS AND DEVICES FOR MONITORING CELLULAR

METABOLISM IN MICROFLUIDIC CELL-RETAINING

CHAMBERS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement for provision of listed references under 37 C.F.R. 1.98(a)(2)(ii), copies of the cited U.S. patents are not enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

| X J | (1) | within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the first Office action on the merits, or before the mailing of a first Office action after the filing of a request for |
|------------|-----|--|
| | (2) | continued examination under 37 C.F.R. 1.114; or after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and |
| | | the requisite Statement is below, OR |

Serial No. 10/759,374
Page 2

the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or

(3) after the mailing date of a final action or notice of allowance but before the payment of the issue fee, AND

the requisite Statement is below, AND

the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.

It is respectfully requested that each of the patents listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

Respectfully submitted,

Date: Mand 30 2006

Reg. No. 44,381

Supplemental Information Disclosure Statement

Attorney for the Applicant Goodwin Procter LLP

| | | | | | | | | | | | | Sheet 1 of 1 | |
|---|--------------------------|----------------------|---|------------|-------------|-----------------|----------|---------|----------------|------------------|-----------------------------------|---------------------------------------|--|
| FORM | FORM PTO - 1449 | | | | | A | TTORNE | Y DOCKI | ET NO.: | THI-00 | 3 | | |
| SUPPLEMENTAL INFORMATION DISCLOSURES TATEMENT | | | | | APPLICANTS: | | | | Hafeman | | | | |
| APR 0 8 2006 | | | | | | S | ERIAL NO | O.: | | 10/759, | 374 | | |
| | | | | | | FILING DATE: | | | | January 16, 2004 | | | |
| | | | | | | | ROUP: | 1651 | | | | | |
| | | BADENANE | | U.S | S. PATEN | JT | DOCUM | IENTS | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | | DA | ATE | N | AME | | CLASS | SUB CLASS | | ING DATE IF PROPRIATE | |
| | A37 | 5,459,300 | | | 10/17/1995 | | asman | | | ļ <u>.</u> | | | |
| | A38 | 6,306,658 | | 10/23/2001 | | Turner et al. | | | | | | | |
| | A39 | 6,821,787 | | 11/23/2004 | | Neilson et al. | | | | | | | |
| | A40 | 6,835,574 | | 12/28/2004 | | Neilson et al. | | | | | | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | |
| EXAM. INIT. | | DOCUMENT DATE NUMBER | | COUNTR | | RY CLASS SUB | | | FILING DATE | ABSTRA ONLY | ABSTRACT ENGLISH DNLY LANG (Y/ | | |
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| EXAMINER | | | | | | DATE CONSIDERED | | | | | | | |



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METHODS AND DEVICES FOR MONITORING CELLULAR

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CHAMBERS

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 30th day of March, 2006.

Denise A. Rose

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

- Transmittal Form (1 page);
- Supplemental Information Disclosure Statement (2 pages);
- Form PTO-1449 (1 page); and
- Return receipt postcard.